

Substitute for Form 1449/PTO			Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Number	09/704,374
			Filing Date	11/01/2000
			First Named Inventor:	David L. Gilmour et al.
			Art Unit	2152
			Examiner Name	Not Yet Assigned
Sheet 1	of 2	Attorney Docket Number	3386P002XX	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-	Number-Kind Code ² (if known)			
MDI		US-	5,664,115	09-02-1997	Fraser	
		US-	5,768,508	06-19-1998	Eikeland	
		US-	6,154,783	11-28-2000	Gilmour et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS.
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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
MDT		Abuzz - Beehive Benefits, "Beehive", http://www.abuzz.com/home/benefits.htm , August 10, 1998, 1 page.	
MDT		Data Sources, Telecomm Software, Software, First Edition, 1998, pages K-213, 214, 217, 224, 229, and 230.	
MDT		Michael McCandless, "Managing Your Privacy in an Online World", Internet Services, IEEE Expert, January - February 1997, pages 76-77.	
MDT		NPtool Intro: Uses of a Noun Phrase Parser, http://www.lingsoft.fi/doc/nptool/intro/uses.html , July 27, 1998, 1 page.	
MDT		Natrifical: The Brain: Reviews, Natrifical Software Technologies, "The Brain Press Reviews", http://www.natrifical.com/Brain/reviews.html , September 4, 1998, 1 page.	
MDT		Natrifical: The Brain: Info, Natrifical Software Technologies, "The Web at the Speed of Thought", http://www.natrifical.com/Brain/info_03.html , September 4, 1998, 1 page.	

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	Filing Date: November 1, 2000	Examiner: Not yet assigned

U.S. PATENT DOCUMENTS

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MDT		4,914,586		Swinehart et al.	4-3-1990	
MDT		4,970,681		Bennett	11-13-1990	
MDT		5,051,891		MacPhail	9-24-1991	
MDT		5,247,575		Sprague et al.	9-21-1993	
MDT		5,247,661		Hager et al.	9-21-1993	
MDT		5,251,131		Masand et al.	10-5-1993	
MDT		5,251,159		Rowson	10-5-1993	
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MDT		5,297,057		Kramer et al.	3-22-1994	
MDT		5,331,579		Maguire, Jr. et al.	7-19-1994	
MDT		5,333,237		Stefanopoulos et al.	7-26-1994	
MDT		5,428,740		Wood et al.	6-27-1995	
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		Office ³	Number ⁴	Kind Code ⁵ (If known)				
MDT		WO	97/02537		Massachusetts Institute of Technology	1-23-1997		
MDT		WO	99/39279		Orbital Software Group Limited	8-5-1999		

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MDT		5,488,725		Turtle et al.	1-30-1996	
MDT		5,493,729		Nigawara et al.	2-20-1996	
MDT		5,513,126		Harkins et al.	4-30-1996	
MDT		5,530,852		Meske, Jr. et al.	6-25-1996	
MDT		5,541,836		Church et al.	7-30-1996	
MDT		5,544,067		Rostoker et al.	8-6-1996	
MDT		5,555,426		Johnson et al.	9-10-1996	
MDT		5,586,218		Allen	12-17-1996	
MDT		5,608,900		Dockter et al.	3-4-1997	
MDT		5,628,011		Ahamed et al.	6-6-1997	
MDT		5,659,731		Gustafson	8-19-1997	
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MDT		5,692,107		Simoudis et al.	11-25-1997	
MDT		5,696,965		Dedrick	12-9-1997	
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MDT		5,720,001		Nguyen	2-17-1998	
MDT		5,724,567		Rose et al.	3-3-1998	
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MDT		5,754,938		Herz et al.	5-19-1998	

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MDT		5,778,364		Nelson	7-7-1998	
MDT		5,794,210		Goldhaber et al.	8-11-1998	
MDT		5,802,518		Karaev et al.	9-1-1998	
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MDT		5,835,087		Herz et al.	11-10-1998	
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MDT		5,867,799		Lang et al.	2-2-1999	
MDT		5,892,909		Grasso et al.	4-6-1999	
MDT		5,907,677		Glenn et al.	5-25-1999	
MDT		5,913,212		Sutcliffe et al.	6-15-1999	
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MDT		5,999,932		Paul	12-7-1999	
MDT		5,999,975		Kittaka et al.	12-7-1999	
MDT		6,006,200		Boies et al.	12-21-1999	
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MDT		6,014,644		Erickson	1-11-2000	
MDT		6,021,439		Turek et al.	2-1-2000	
MDT		6,026,374		Chess	2-15-2000	
MDT		6,038,560		Wical	3-14-2000	
MDT		6,044,376		Kurtzman, II	3-28-2000	
MDT		6,052,122		Sutcliffe et al.	4-18-2000	

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		Number	Kind Code ² (If known)			
UPT		6,052,709		Paul	4-18-2000	
UPT		6,052,714		Miike et al.	4-18-2000	
UPT		6,064,980		Jacobi et al.	5-16-2000	
UPT		6,112,186		Bergh et al.	8-29-2000	
UPT		6,115,709		Gilmour et al.	9-5-2000	
UPT		6,151,600		Dedrick	11-21-2000	
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UPT		6,298,348	B1	Eldering	10-2-2001	

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OTHER ART - NO PATENT LITERATURE DOCUMENTS

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		Welcome to enonymous.com, Web page, "Be Privacy Aware...Be enonymous", http://www.enonymous.com/default.asp .	
		Enonymous Web Page, "Why be enonymous?", http://www.enonymous.com/whybeenon.asp	
		Enonymous Web Page, "What is enonymous advisor?", http://www.enonymous.com/whatisit.asp .	
		Enonymous Web Page, "How enonymous advisor beta works...", http://www.enonymous.com/howitworks.asp .	
		Enonymous Web Page, "The enonymous zone...", http://www.enonymous.com/zone.asp .	
		Enonymous Web Page, "the enonymous profile...", http://www.enonymous.com/profile.asp .	
		Enonymous Web Page, "Frequently Asked Questions", http://www.enonymous.com/faq.asp .	
		Yenta: A Multi-Agent, Referral-Based Matchmaking System", Leonard N. Foner, <u>The First International Conference on Autonomous Agents (Agents '97)</u> , Marina del Rey, CA, 1997.	
		"A Multi-Agent Referral System for Matchmaking", Leonard N. Foner, <u>The First International Conference on the Practical Applications of Intelligent Agents and Multi-Agent Technology</u> , London UK, April 1006.	
		"Clustering and Information Sharing in an Ecology of Cooperating Agents", Leonard N. Foner, <u>AAAI Workshop on Information Gathering in Distributed, Heterogenous Environments '95</u> , Palo Alto, CA 1995.	
		"Somewhat-by-topic linearization of Yenta," Leonard Foner, last modified February 11, 1997, http://www.media.mit.edu/people/foner/Yenta/linearization-by-topic.html .	
		"Political Artifacts and Personal Privacy: The Yenta Multi-Agent Distributed Matchmaking System", Leonard Newton Foner, April 30, 1999, © Massachusetts Institute of Technology, 1999.	

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		"Taking the byte out of cookies: privacy, consent, and the Web" Daniel Lin, and Michael C. Loui PP 39-51 -Proceeding of the ethics and social impact component on Shaping policy on the information age May 10-12, 1998	
		"A Security Policy Model for Clinical Information Systems" Anderson, R. J. Security and Privacy, 1996, Proceeding IEEE Symposium, May 6-8 1996 PP 30-43	
		"Verity introduces new Profiler Kit and enhanced developer's Kit" IAC Newsletter Collection - M2 Presswire - 8/98	
		"Integrator's choice awards: Brambert, Dave; Biangi, Susan - Network VAR V5.n10 p 28 Oct 1997.	
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		"MAIL-MAN": A Knowledge-Based Mail Assistant for Managers", Journal of Organizational Computing", L.F. Motiwalla and J.F. Nunamaker, Jr., vol. 2, no. 2, pp 131-154, 1992 (abstract only).	
		"Topic Real-Time", HP-UX-Documentation Disc 50726-10186 (from Software Patent Institute Database of Software Technologies), February 1, 1995.	
		"askSam for Windows Getting Started Guide", (from Software Patent Institute Database of Software Technologies), first section, May 30, 1995.	
		"EZ Reader: Embedded AI for Automatic Electronic Mail Interpretation and Routing", Proceedings of the Thirteenth National Conference on Artificial Intelligence and the Eighth Innovative Applications of Artificial Intelligence Conference, A. Rice, J. Hsu, A. Angotti and R. Piccolo, vol. 2, pp 1507-1517, August 4-8, 1996 (INSEC Abstract).	
		"Information Management for Knowledge Amplification in Virtual Enterprises", J. Numata et al., IEMC Proceedings, pp 281-285, August 18-20, 1996 (INSEC Abstract).	
		http://www.email-software.com/pages/00108.htm, review of "Emailrobot for Exchange/SMTP".	
		http://www.email-software.com/pages/00033.htm, review of "Signup V2.0"	
		"Knowledge Management: Fuel For Innovation", Bob Evans, CPM net Information Week On Line, October 20, 1997.	

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		"Knowing What We Know", Justin Hibbard, CPM net Information Week On Line, October 20, 1997	
		"Knowledge Management Evaluation Scenario", Jeff Angus with Jeetu Patel and Joe Fenner, CPM net Information Week On Line, March 16, 1998.	
		"Knowledge Management Takes Industry's Center Stage", Elliot Maise, CMP net Computer Reseller News, February 2, 1998, Issue 774.	
		"Knowledge Management: Great Concept... But What Is It?", Jeff Angus, Jeetu Patel and Jennifer Harty, CMP net, Information Week On Line, March 16, 1998.	
		"Knowledge Management's Net Gain", Kevin Jones, ZD net, Inter@ctive Week, February 24, 1998.	
		"Open Sesame Site Just Works", Bill Burke, BusinessToday.com, http://www.opensesame.com, Today's Column, January 22, 1998.	
		"New eCommerce and Entertainment Web Site Demonstrates Leading Edge Personalization and Privacy Features" (press release), http://www.opensesame.com, January 20, 1998.	
		"Neutral Agent Enables Personalized Surfing", R. Colin Johnson, CMP net TechWeb, http://www.techweb.com, February 4, 1998.	
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		"Natrificial Software Technologies Unveils Internet Brain Publishing" (press release), Natrificial Software Technologies, http://www.natrificial.com, June 9, 1998.	

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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)	Attorney Docket No.: 003386.P016	Application Number: 09/704,374
	First Named Inventor: David L. Gilmour, et al.	
	Filing Date: November 1, 2000	

OTHER ART - NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume- issue number(s), publisher, city and/or country where published	Translation ²
		"Natrificial Software Technologies Introduces The Brain" (press release), Natrificial Software Technologies, http://www.natrificial.com, January 26, 1998.	
		"The Brain: Much More The Way You Think", Scot Finnie, CMP Net Windows Magazine, http://www.windowsmagazine.com, May 1, 1998.	
		"Your Brain on Windows", Leslie Ayers, ZD Net Products, http://www.zdnet.com/products, April 1998.	
		"What's New", Natrificial Software Technologies, http://www.natrificial.com, 1998.	
		"Natrificial Software Technologies", Natrificial Software Technologies, http://www.natrificial.com.	
		"Always Thinking Ahead", Natrificial Software Technologies, http://www.natrificial.com.	
		"Digitize Your Mind", Natrificial Software Technologies, http://www.natrificial.com.	
		"It's Your Thought That Counts", Natrificial Software Technologies, http://www.natrificial.com.	
		"Knowledge is Power", Natrificial Software Technologies, http://www.natrificial.com.	
		"Free Your Mind", Natrificial Software Technologies, http://www.natrificial.com.	
		"Abuzz's Mission", Abuzz, http://www.abuzz.com.	
		"Beehive", Abuzz, http://www.abuzz.com.	
		"Beehive: Beta Program", Abuzz, http://www.abuzz.com/home/demos.htm.	
		"Beehive: White Papers", Abuzz, http://www.abuzz.com/home/white_papers.htm.	

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		Open Sesame, http://www.opensesame.com.	
		Open Sesame: The Company, http://www.opensesame.com/company.html.	
		Open Sesame Published Papers, http://www.opensesame.com/co_02.html.	
		Open Sesame: Agent Sourcebook, http://www.opensesame.com/co_03.html.	
		Open Sesame: Products; Learn Sesame, http://www.opensesame.com/products.html.	
		Open Sesame: Products; Why Personalize?, http://www.opensesame.com/prod_01.html.	
		Open Sesame: Products; Benefits, http://www.opensesame.com/prod_02.html.	
		Open Sesame: Products; Product Datasheet, http://www.opensesame.com/prod_04.html.	
		Open Sesame: Products/Demos, http://www.opensesame.com/product_05.html.	
		Open Sesame: Products; Competitive Summary, http://www.opensesame.com/prod_03.html.	
		e-Genie by Open Sesame: Your Entertainment Genie!, http://egenie.opensesame.com/.	

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		Open Sesame: Products; eGenie Live!, http://www.opensesame.com/prod_06.html.	
		Open Sesame: Commitment to Privacy, http://www.opensesame.com/privacy.html.	
		Open Sesame: Press, http://opensesame.com/press.cfm.	
		Open Sesame: Press; Reviews, http://www.opensesame.com/pr_02.html.	
		Autonomy Knowledge Management Products, http://www.agentware.com.	
		Autonomy Latest News, Autonomy Home Page, http://www.agentware.com.	
		Autonomy Knowledge Server Data Sheet, http://www.agentware.com.	
		Autonomy Knowledge Update Data Sheet, http://www.agentware.com.	
		The Technology Behind Autonomy Agentware, Autonomy Technology Page, http://www.agentware.com.	
		Autonomy Agentware Technology White Paper, http://www.agentware.com.	
		"Autonomy Launches New Knowledge Management Products to Help Companies Leverage Employee Expertise, Late-Breaking News and Existing Information Archives", Autonomy Press Release, February 17, 1998, http://www.agentware.com.	
		"Autonomy Ships Agentware Products for Knowledge Management", Autonomy Press Release, April 14, 1998, http://www.agentware.com.	
		"A Short Introduction to NPtool", Aro Voutilainen, A Short Introduction to NPtool, http://www.lingsoft.fi/doc/nptool/intro/.	
		NPtool Intro: Overview, http://www.lingsoft.fi/doc/nptool/intro/overview.html.	

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		NPtool Intro: Previous Work, http://www.lingsoft.fi/doc/nptool/intro/previous.html.	
		NPtool Intro: NPtool inOutline, http://www.lingsoft.fi/doc/nptool/intro/outline.html.	
		NPtool Intro: Syntactic Description, http://www.lingsoft.fi/doc/nptool/intro/syntax.html.	
		NPtool Intro: Performance, http://www.lingsoft.fi/doc/nptool/intro/performance.html.	
		NPtool Intro: Conclusion and Acknowledgements, http://www.lingsoft.fi/doc/nptool/intro/conculsion.html.	
		Orbital Technologies: Our Focus, http://www.orbital-tech.com/.	
		Orbital Technologies: Orbital Products, http://www.orbital-tech.com/products.html.	
		Orbital Technologies: Organik KnowledgeWare, http://www.orbital-tech.com/organikkw.html.	
		Orbital Technologies: About Orbital Technologies, http://www.orbital-tech.com/about.html.	
		Orbital Technologies: Organik Persona Server, http://www.orbital-tech.com/organikps.html.	
		Orbital Technologies: Corporate Fact Sheet, http://www.orbital-tech.com.	
		Orbital Technologies: Orbital Organik White Paper, July 1997, http://www.orbital-tech.com/.	
		"Applying Evolutionary Algorithms to the Problem of Information Filtering", Tjoa et al., Proceedings of the 8th International Workshop on Database and Expert System, September 1-2, 1997, pp 450-458.	
		"Distributing PeCo-Mediator: Finding Partners via Personal Connections," IEEE International Conference on Systems, Man, and Cybermatics, 1996, Vol. 1, pp 802-807, October 14-17, 1996.	

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